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| APPLICATION NO. FILING DATE |      | FILING DATE  | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.               | CONFIRMATION NO. |
|-----------------------------|------|--------------|----------------------|-----------------------------------|------------------|
| 10/705,398 11/10/2003       |      | 11/10/2003   | Shutsung Liao        | 10634-002002 / UCHI 751 4394<br>C |                  |
| 26161                       | 7590 | 01/09/2006   | EXAMINER             |                                   |                  |
| FISH & RI<br>P.O. BOX 19    |      | SON PC       | BADIO, BARBARA P     |                                   |                  |
|                             |      | N 55440-1022 | ART UNIT             | PAPER NUMBER                      |                  |
|                             | •    |              | 1617                 |                                   |                  |

DATE MAILED: 01/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| *  |   | 1 4 10                |   |                     |              |  |  |  |  |  |
|--|---|-----------------------|---|---------------------|--------------|--|--|--|--|--|
| Office Action Commence   |   | Applicat              | Application No.   |                     | Applicant(s) |  |  |  |  |  |
|  |   | 10/705,3              | 98  | LIAO ET AL.         |              |  |  |  |  |  |
|  | Office Action Summary   | Examine               | r   | Art Unit            |              |  |  |  |  |  |
|  |   |                       | P. Badio, Ph.D.   | 1617                |              |  |  |  |  |  |
|  | The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |                       |   |                     |              |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                       |   |                     |              |  |  |  |  |  |
| Status   |   |                       |   |                     |              |  |  |  |  |  |
| 1)   | Responsive to communication(s) file   | ed on                 |   |                     |              |  |  |  |  |  |
| ·  |   | 2b)⊠ This action is   | non-final.  |                     |              |  |  |  |  |  |
| 3)   |   | <i>,</i> —            |   | osecution as to the | e merits is  |  |  |  |  |  |
| ,_   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |                       |   |                     |              |  |  |  |  |  |
| Disposition of Claims  |   |                       |   |                     |              |  |  |  |  |  |
| 4)⊠  | 4)⊠ Claim(s) <u>18,20-23,29,31-34,65 and 66</u> is/are pending in the application.  |                       |   |                     |              |  |  |  |  |  |
|  | 4a) Of the above claim(s) <u>23 and 33</u> is/are withdrawn from consideration.   |                       |   |                     |              |  |  |  |  |  |
|  | ☐ Claim(s) is/are allowed.  |                       |   |                     |              |  |  |  |  |  |
| -  | ⊠ Claim(s) <u>18,20-22,29,31,32,34,65 and 66</u> is/are rejected.   |                       |   |                     |              |  |  |  |  |  |
|  | Claim(s) is/are objected to.  |                       | •   |                     |              |  |  |  |  |  |
|  | Claim(s) are subject to restrict  | ction and/or election | requirement.  |                     |              |  |  |  |  |  |
|  | ion Papers  |                       | 4   |                     |              |  |  |  |  |  |
| _  | •   |                       |   |                     |              |  |  |  |  |  |
|  | The specification is objected to by the   |                       | N   |                     |              |  |  |  |  |  |
| 10)  | The drawing(s) filed on is/are:   | •                     |   |                     |              |  |  |  |  |  |
|  | Applicant may not request that any obje   |                       | •   |                     |              |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |                       |   |                     |              |  |  |  |  |  |
| 11)  | 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |                       |   |                     |              |  |  |  |  |  |
| Priority (   | ınder 35 U.S.C. § 119   |                       |   |                     |              |  |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |                       |   |                     |              |  |  |  |  |  |
| 2) Notice 3) Infor   | ot <b>(s)</b> See of References Cited (PTO-892) See of Draftsperson's Patent Drawing Review (F<br>Mation Disclosure Statement(s) (PTO-1449 or<br>Fr No(s)/Mail Date 11/21/05.                                     |                       | 4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other: | ate                 | O-152)       |  |  |  |  |  |

#### First Office Action on the Merits of a RCE

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

## Status of the Application

2. Claims 18, 20-23, 29, 31-34, 65 and 66 are pending in the present specification. Claims 23 and 33 stand withdrawn from further consideration as being drawn to a nonelected species.

# Claim Rejections - 35 USC § 102

- 3. The rejection of claims 18, 21, 22, 29, 31 and 65 under 35 USC 102(b) over Riccio et al. is withdrawn.
- 4. The rejection of claims 18, 21, 22, 29, 31 and 65 under 35 USC 102(b) over McKee et al. is withdrawn.
- 5. Claims 18, 20-22, 29, 31, 32, 34, 65 and 66 are rejected under 35 U.S.C. 102(b) as being anticipated by Kurosawa et al.

Kurosawa et al. teach several bile acid derivatives such as  $3\alpha$ , $6\alpha$ -dihydroxy- $5\beta$ -cholest-24-en-26-oic acid (see the entire article, especially, page 476, Figure 1,

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compounds 1b-11b, see especially compound 3b). The compounds and compositions taught by the reference are encompassed by the instant claims.

6. Claims 18, 20-22, 29, 31, 32 and 65 are rejected under 35 U.S.C. 102(b) as being anticipated by Kaiser (US 4,351,767).

Kaiser teaches several steroid derivatives such as  $3\alpha,6\alpha$ -dihydroxy- $5\beta$ -cholest-24-ene (see the entire article, especially, col. 6, Example X). The compound and composition taught by the reference are encompassed by the instant claims.

### Claim Rejections - 35 USC § 103

7. The rejection of claim 20 under 35 USC 103(a) over McKee et al. is withdrawn.

## Telephone Inquiry

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Barbara P. Badio, Ph.D. whose telephone number is 571-272-0609. The examiner can normally be reached on M-F from 6:30am-4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreenivasan Padmanabhan can be reached on 571-272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Barbara P. Badio, Ph.D.

Primary Examiner Art Unit 1617

BB January 6, 2006